



SECTOR

Attorney Docket No.: 042390.P6958

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

ANNE E. MILLER, ET AL.

Group Art Unit: 1762

Application No.: 09/461,158

Filed: December 14, 1999

For: **HIGH pH SLURRY FOR CHEMICAL MECHANICAL POLISHING OF COPPER**

Assistant Commissioner for Patents

Attention: Box Missing Parts

Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts of Application mailed January 20, 2000, please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a check in the amount of \$130.00 in payment of the surcharge of 37 CFR § 1.16(e);
- (3) a copy of the Notice to File Missing Parts of Application

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplication of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Gregory D. Caldwell, Reg. No. 39,926

Dated: March 1, 2000

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (503) 684-6200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Attention: Box Missing Parts, Washington, D.C. 20231 on: March 1, 2000.

Mary E. Warinner

03/01/00

Date

Please type a plus sign (+) inside this box

MAR 07 2000

PTO/SB/17 (6/99)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEET TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.
See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$)

130.00

Complete If Known

| | |
|------------------------|-------------------|
| Application Number | 09/461,158 |
| Filing Date | December 14, 1999 |
| First Named Inventor | Anne E. Miller |
| Examiner Name | Not Yet Assigned |
| Group/Art Unit | 1762 |
| Attorney Docket Number | 042390.P6958 |

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to credit any over payments to:

Deposit Account Number

Deposit Account Name

Charge Any Additional Fees Required Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

2. Payment Enclosed:

Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description | Fee Paid |
|----------|----------|----------|----------|------------------------|----------|
| 101 | 690 | 201 | 345 | Utility filing fee | |
| 106 | 310 | 206 | 155 | Design filing fee | |
| 107 | 480 | 207 | 240 | Plant filing fee | |
| 108 | 690 | 208 | 345 | Reissue filing fee | |
| 114 | 150 | 214 | 75 | Provisional filing fee | |

SUBTOTAL (1)

2. EXTRA CLAIM FEES

| Total Claims | - | Independent Claims | = | Extra Claims | X | Fee from below | = | Fee Paid |
|--------------|---|--------------------|---|--------------|---|----------------|---|----------|
| | | | | | | | | |
| | | | | | | | | |

*or number previously paid, if greater. For Reissues, see below

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description |
|----------|----------|----------|----------|---|
| 103 | 18 | 203 | 9 | Claims in excess of 20 |
| 102 | 78 | 202 | 39 | Independent claims in excess of 3 |
| 104 | 260 | 204 | 130 | Multiple Dependent claim, if not paid |
| 109 | 78 | 209 | 39 | **Reissue independent claims over original patent |
| 110 | 18 | 210 | 9 | **Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

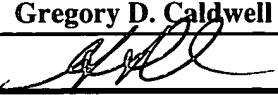
3. ADDITIONAL FEE

| Large Entity Fee Code | Small Entity Fee Code | Fee Description | Fee Paid |
|-----------------------|-----------------------|---|----------|
| 105 | 130 | 205 65 Surcharge - late filing fee or oath | 130.00 |
| 127 | 50 | 227 25 Surcharge - late provisional filing fee or cover sheet | |
| 139 | 130 | 139 130 Non-English specification | |
| 147 | 2,520 | 147 2,520 For filing a request for reexamination | |
| 112 | 920* | 112 920*Requesting publication of SIR prior to Examiner action | |
| 113 | 1,840* | 113 1,840*Requesting publication of SIR after Examiner action | |
| 115 | 110 | 215 55 Extension for response within first month | |
| 116 | 380 | 216 190 Extension for response within second month | |
| 117 | 870 | 217 435 Extension for response within third month | |
| 118 | 1,210 | 218 680 Extension for response within fourth month | |
| 128 | 1,850 | 228 925 Extension for response within fifth month | |
| 119 | 300 | 219 150 Notice of Appeal | |
| 120 | 300 | 220 150 Filing a brief in support of an appeal | |
| 121 | 260 | 221 130 Request for oral hearing | |
| 138 | 1,510 | 138 1510 Petition to institute a public use proceeding | |
| 140 | 110 | 240 55 Petition to revive - unavoidable | |
| 141 | 1,210 | 241 605 Petition to revive - unintentional | |
| 142 | 1,210 | 242 605 Utility issue fee (or reissue) | |
| 143 | 430 | 243 215 Design issue fee | |
| 144 | 580 | 244 290 Plant issue fee | |
| 122 | 130 | 122 130 Petitions to the Commissioner | |
| 123 | 50 | 123 50 Petitions related to provisional applications | |
| 126 | 240 | 126 240 Submission of Information Disclosure Stmt | |
| 581 | 40 | 581 40 Recording each patent assignment per property (times number of properties) | |
| 146 | 790 | 246 395 Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 149 | 790 | 249 395 For each additional invention to be examined (37 CFR 1.129(b)) | |
| Other fee (specify) | | | |
| Other fee (specify) | | | |

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3)**

SUBMITTED BY

Complete (if applicable)

| | | | |
|-----------------------|---|-------------|----------|
| Typed or Printed Name | Gregory D. Caldwell | Reg. Number | 39,926 |
| Signature |  | Date | 03/01/00 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

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MAR 07 2000
PATENT & TRADEMARK OFFICE
U.S. GOVERNMENT PRINTING OFFICE: 1605



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Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

EN

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
|--------------------|---------------------|-----------------------|---------------------------|
| 09/461,158 | 12/14/99 | MILLER | A 942390-P6958 |

0242/0120
BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP
12400 WILSHIRE BOULEVARD
7TH FLOOR
LOS ANGELES CA 90025

NOT ASSIGNED

1762

DATE MAILED:

01/20/00

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 7 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 or a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 130.

1. The statutory basic filing fee is:

missing.
 insufficient.

Applicant must submit \$ *130* to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ *130* for total claims over 20.

\$ *130* for independent claims over 3.

\$ *130* for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.
 does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

1/26/2000

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

K. Anderson
Customer Service Center
Initial Patent Examination Division (703) 308-1202

U.S. GOVERNMENT PRINTING OFFICE: 1605
03/29/2000 FORM PTO-1533 (REV. 9/98)

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03/29/2000 FORM PTO-1533 (REV. 9/98)

01/20/00
01/20/00

PART 2 - COPY TO BE RETURNED WITH RESPONSE